

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA

SHYRIAA HENDERSON, on behalf of  
herself and all others similarly situated,

Plaintiff,

v.

UNITED STUDENT AID FUNDS, INC.,  
D/B/A USA FUNDS,

Defendant.

Case No. 13cv1845-JLS (BLM)

**ORDER:**

**(1) GRANTING IN PART JOINT  
MOTION TO AMEND THE AMENDED  
CASE MANAGEMENT CONFERENCE  
ORDER [ECF No. 122]**

**AND**

**(2) AMENDED CASE MANAGEMENT  
CONFERENCE ORDER**

On October 15, 2015, the parties filed a joint motion to amend the Amended Case Management Order. ECF No. 122. In support, the parties describe their discovery efforts, including Plaintiff's issuance of subpoenas on Defendant's twenty five vendors. Id. at 4-7. The parties state that Plaintiff is currently conducting meet and confer conferences with counsel for the vendors and that Plaintiff is contemplating filing motions to compel in several jurisdictions "due to objections by vendors." Id. at 7. The parties thus assert that an extension is necessary "to continue the pursuit of the relevant discovery and conduct necessary depositions after production," and ask the Court to extend all deadlines by one hundred and twenty days. Id. at 2-3, 7.

1 Having reviewed the parties' joint motion, and having consulted with the chambers of  
2 District Judge Janis L. Sammartino, the Court finds good cause and **GRANTS IN PART** the  
3 motion. The Court thus amends the May 11, 2015 Amended Case Management Order as follows:

4 1. Any motion for class certification must be filed on or before **February 29, 2016**.

5 2. Each party shall serve on all opposing parties a list of experts, whom that party  
6 expects to call at trial, on or before **February 29, 2016**. Each party may supplement its  
7 designation in response to the other party's designation no later than **March 29, 2016**. Expert  
8 designations shall include the name, address, and telephone number of each expert and a  
9 reasonable summary of the testimony the expert is expected to provide. The list shall also include  
10 the normal rates the expert charges for deposition and trial testimony.

11 The parties must identify any person who may be used at trial to present evidence  
12 pursuant to Rules 702, 703 or 705 of the Federal Rules of Evidence. This requirement is not  
13 limited to retained experts.

14 **Please be advised that failure to comply with this section or any other discovery**  
15 **order of the Court may result in the sanctions provided for in Fed. R. Civ. P. 37,**  
16 **including a prohibition on the introduction of experts or other designated matters in**  
17 **evidence.**

18 3. All expert disclosures required by Fed. R. Civ. P. 26(a)(2) shall be served on all  
19 parties on or before **May 30, 2016**. Any contradictory or rebuttal information shall be disclosed  
20 on or before **June 28, 2016**. In addition, Fed. R. Civ. P. 26(e)(2) imposes a duty on the parties  
21 to supplement the expert disclosures made pursuant to Fed. R. Civ. P. 26(a)(2)(B) by the time  
22 that pretrial disclosures are due under Fed. R. Civ. P. 26(a)(3) (discussed below).

23 The parties are advised to consult with Fed. R. Civ. P. 26(a)(2) regarding expert  
24 disclosures. Such disclosures shall include an expert report, all supporting materials, a complete  
25 statement of all opinions to be expressed and the basis and reasons therefor, the data or other  
26 information considered by the expert in forming the opinions, any exhibits to be used as a  
27 summary of or support for the opinions, the qualifications of the witness including a list of all  
28 publications authored by the witness within the preceding ten years, the compensation to be paid

1 for the study and testimony, and a list of other cases in which the witness has testified as an  
2 expert at trial or by deposition within the preceding four years.

3 This disclosure requirement applies to all persons retained or specially employed to provide  
4 expert testimony or whose duties as an employee of the party regularly involve the giving of  
5 expert testimony.

6 **Please be advised that failure to comply with this section or any other discovery**  
7 **order of the Court may result in the sanctions provided for in Fed. R. Civ. P. 37,**  
8 **including a prohibition on the introduction of experts or other designated matters in**  
9 **evidence.**

10 4. All discovery shall be completed by all parties on or before **August 24, 2016.**  
11 "Completed" means that all discovery under Rules 30-36 of the Federal Rules of Civil Procedure,  
12 and discovery subpoenas under Rule 45, must be initiated a sufficient period of time in advance  
13 of the cut-off date, so that it may be completed by the cut-off date, taking into account the times  
14 for service, notice, and response as set forth in the Federal Rules of Civil Procedure.

15 Counsel shall promptly and in good faith meet and confer with regard to all discovery  
16 disputes in compliance with Federal Rule of Civil Procedure 37(a)(1) and Civil Local Rule 26.1(a).  
17 All discovery motions must be filed within 30 days of the service of an objection, answer or  
18 response which becomes the subject of dispute or the passage of a discovery due date without  
19 response or production, and only after counsel have met and conferred and have reached impasse  
20 with regard to the particular issue. The Court's procedures for resolving discovery disputes are  
21 set forth in Magistrate Judge **Barbara L. Major's** Civil Chambers Rules, which are posted on the  
22 Court's website. **A failure to comply in this regard will result in a waiver of a party's**  
23 **discovery issue. Absent an order of the court, no stipulation continuing or altering this**  
24 **requirement will be recognized by the court.**

25 5. All other pretrial motions must be filed on or before **September 20, 2016.**  
26 Motions will not be heard or calendared unless counsel for the moving party has obtained a  
27 motion hearing date from the law clerk of the judge who will hear the motion. Failure to timely  
28 request a motion date may result in the motion not being heard.

Questions regarding this case should be directed to the judge's law clerk. The Court draws the parties' attention to Local Rule 7.1(e)(4) which requires that the parties allot additional time for service of motion papers by mail. Papers not complying with this rule shall not be accepted for filing.

Briefs or memoranda in support of or in opposition to any pending motion shall not exceed twenty-five (25) pages in length without leave of the judge who will hear the motion. No reply memorandum shall exceed ten (10) pages without leave of the judge who will hear the motion.

6. A Mandatory Settlement Conference shall be conducted on **June 8, 2016** at **9:30 a.m.** in the chambers of Magistrate Judge Barbara L. Major located at **333 West Broadway, Suite 1110, San Diego, CA 92101**. All discussions at the Mandatory Settlement Conference will be informal, off the record, privileged, and confidential. Counsel for any non-English speaking party is responsible for arranging for the appearance of an interpreter at the conference.

a. **Personal Appearance of Parties Required:** All parties, adjusters for insured defendants, and other representatives of a party having full and complete authority to enter into a binding settlement, as well as the principal attorneys responsible for the litigation, must be present **in person** and legally and factually prepared to discuss settlement of the case. Counsel appearing without their clients (whether or not counsel has been given settlement authority) will be cause for immediate imposition of sanctions and may also result in the immediate termination of the conference.

Unless there is good cause, persons required to attend the conference pursuant to this Order shall not be excused from personal attendance. **Requests for excuse from attendance for good cause shall be made in writing at least three (3) court days prior to the conference.** Failure to appear **in person** at the Mandatory Settlement Conference will be grounds for sanctions.

b. **Full Settlement Authority Required:** In addition to counsel who will try

the case, a party or party representative with full settlement authority<sup>1</sup> must be present for the conference. In the case of a corporate entity, an authorized representative of the corporation who is not retained outside counsel must be present and must have discretionary authority to commit the company to pay an amount up to the amount of Plaintiff's prayer (excluding punitive damages prayers). The purpose of this requirement is to have representatives present who can settle the case during the course of the conference without consulting a superior. Counsel for a government entity may be excused from this requirement so long as the government attorney who attends the Mandatory Settlement Conference (1) has primary responsibility for handling the case, and (2) may negotiate settlement offers which the attorney is willing to recommend to the government official having ultimate settlement authority.

c. **Confidential Settlement Statements Required:** No later than **May 30, 2016**, the parties shall submit directly to Magistrate Judge Major's chambers (via hand delivery or email address efile\_major@casd.uscourts.gov) confidential settlement statements no more than ten (10) pages in length. **These confidential statements shall not be filed or served on opposing counsel.** Each party's confidential statement must set forth the party's statement of the case, identify controlling legal issues, concisely set out issues of liability and damages, and shall set forth the party's settlement position, including any previous settlement negotiations, mediation sessions, or mediation efforts, the last offer or demand made by that party, and a separate statement of the offer or demand the party is prepared to make at the settlement conference. If a specific demand or offer for settlement cannot be made at the time the brief is submitted, then the reasons therefore must be stated along with a statement as to when the party will be in a position to state a demand or make an offer.

General statements that a party will "negotiate in good faith" is not a specific demand or

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<sup>1</sup> "Full settlement authority" means that the individuals at the settlement conference must be authorized to explore settlement options fully and to agree at that time to any settlement terms acceptable to the parties. Heileman Brewing Co. v. Joseph Oat Corp., 871 F.2d 648, 653 (7th Cir. 1989). The person needs to have "unfettered discretion and authority" to change the settlement position of a party. Pitman v. Brinker Int'l, Inc., 216 F.R.D. 481, 485-86 (D. Ariz. 2003). The purpose of requiring a person with unlimited settlement authority to attend the conference contemplates that the person's view of the case may be altered during the face to face conference. Id. at 486. A limited or a sum certain of authority is not adequate. See Nick v. Morgan's Foods, Inc., 270 F.3d 590, 595-97 (8th Cir. 2001).

offer contemplated by this Order. It is assumed that all parties will negotiate in good faith.

d. **Requests to Continue a Mandatory Settlement Conference:** Any request to continue the Mandatory Settlement Conference or request for relief from any of the provisions or requirements of this Order must be sought by a **written *ex parte* application.** The application must (1) be supported by a declaration of counsel setting forth the reasons and justifications for the relief requested, (2) confirm compliance with Civil Local Rule 83.3(h), and (3) report the position of opposing counsel or any unrepresented parties subject to the Order. **Absent good cause, requests for continuances will not be considered unless submitted in writing no fewer than seven (7) days prior to the scheduled conference.**

**If the case is settled in its entirety before the scheduled date of the conference, counsel and any unrepresented parties must still appear in person, unless a written joint notice confirming the complete settlement of the case is filed no fewer than twenty-four (24) hours before the scheduled conference.**

7. Counsel shall serve on each other and file their Memoranda of Contentions of Fact and Law and take any other action required by Local Rule 16.1(f)(2) (and 16.1(f)(3), where applicable) on or before **December 29, 2016**. On or before this date, the parties must also comply with the pretrial disclosure requirements of Fed. R. Civ. P. 26(a)(3). The parties should consult Fed. R. Civ. P. 26(a)(3) for the substance of the required disclosures.

8. Counsel shall confer and take the action required by Local Rule 16.1(f)(4) on or before **January 5, 2017**. At this meeting, counsel shall discuss and attempt to enter into stipulations and agreements resulting in simplification of the triable issues. Counsel shall exchange copies and/or display all exhibits other than those to be used for impeachment, lists of witnesses and their addresses including experts who will be called to testify, and written contentions of applicable facts and law. The exhibits shall be prepared in accordance with Local Rule 16.1(f)(2)(c). Counsel shall cooperate in the preparation of the proposed final pretrial conference order.

9. The proposed final pretrial conference order, including written objections, if any, to any party's Fed. R. Civ. P. 26(a)(3) pretrial disclosures, shall be served on opposing counsel and

1 lodged directly with Judge Sammartino's chambers on or before **January 19, 2017** and shall be  
2 in the form prescribed in and in compliance with Local Rule 16.1(f)(6). Any objections shall  
3 comply with the requirements of Fed. R. Civ. P. 26(a)(3). **Please be advised that the failure**  
4 **to file written objections to a party's pretrial disclosures may result in the waiver of**  
5 **such objections, with the exception of those made pursuant to Rules 402 (relevance)**  
6 **and 403 (prejudice, confusion or waste of time) of the Federal Rules of Evidence.**

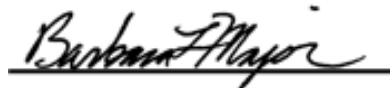
7 10. The final pretrial conference is scheduled on the calendar of the Honorable Janis L.  
8 Sammartino on **January 26, 2017** at **1:30 p.m.** The trial date will be assigned by Judge  
9 Sammartino at the pretrial conference.

10 11. The dates and times set forth herein will not be modified except for good cause  
11 shown.

12 12. Plaintiff's(s') counsel shall serve a copy of this order on all parties that enter this  
13 case hereafter.

14 **IT IS SO ORDERED.**

15 DATED: October 20, 2015

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18 BARBARA L. MAJOR  
19 United States Magistrate Judge  
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